

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Masaya OKAMOTO, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/JP03/03831

GAU:

FILED: Herewith

EXAMINER:

FOR: PROCESSES FOR PRODUCING CARBONIC ESTER AND PRODUCING POLYCARBONATE

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

## REFERENCES

- The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. In accordance with discussions on August 4, 2004 with Mr. Nicholas P. Godici, Commissioner for Patents, it is no longer required to submit copies of cited pending applications. A modification of the Rules will be published soon in the Official Gazette. Cited issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

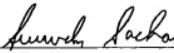
## CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.  
Norman F. Oblon  
Registration No. 24,618Surinder Sachar  
Registration No. 34,423

Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

Form PTO 1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO. 259549US0X PCT	SERIAL NO. 10/1509340 New U.S. PCT Application Based on PCT/JP03/03831
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Masaya OKAMOTO, et al.	
		FILING DATE Herewith	GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
/ES/	AA 5502232	05/26/96	BUYSCH, Hans-Josef et al.			
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						
AL						
AM						
AN						

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	NO
/ES/	AO 869110	10/07/98	EP		NO
/ES/	AP 2002-069170	03/08/02	JP(English Abstract Only)		NO
/ES/	AQ 11-292962	10/26/99	JP(English Abstract Only)		NO
/ES/	AR 2000-297148	10/24/00	JP(English Abstract Only)		NO
AS					
AT					
AU					
AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

AW			
AX			
AY			
AZ			

Examiner  Ebenezer Sackey/ (02/18/2008) Additional References sheet(s) attached

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.